English Translation¹ of Seed Law Amendment (Draft for Comment) August 20, 2021

Seed Law

种子法

(2015 revision)

Article 1 This Law is developed for purposes of protecting and making rational use of germ plasm resources, standardizing variety selection and cultivation, as well as seed production and business operation and the administration thereof, protecting the right to new varieties of plants, safeguarding the lawful rights and interests of seed producers, traders and users, improving the quality of seeds, pushing forward the industrial management of seeds, developing modern seed industry, quaranteeing grain safety of the state, and

Seed Law Amendment (Draft for Comment)

种子法(修正草案) (征求意见稿)

August 20, 2021

Article 1 This Law is developed for purposes of protecting and making rational use of germ plasm resources, standardizing variety selection and cultivation, as well as seed production and business operation and the administration thereof, protecting the right to new varieties of plants, stimulating the original innovation of breeding, safeguarding the lawful rights and interests of seed producers, traders and users, improving the quality of seeds, pushing forward the industrial management of seeds, developing modern seed industry,

THE USPTO IS PROVIDING THIS TRANSLATION SOLELY AS A CONVENIENCE TO THE ENGLISH-READING PUBLIC. WE HAVE ATTEMPTED TO PROVIDE AN ACCURATE ENGLISH TRANSLATION OF THE CHINESE DOCUMENT, BUT DUE TO THE NUANCES IN TRANSLATING FROM CHINESE TO ENGLISH, SLIGHT DIFFERENCES MAY EXIST. WE WILL MAKE EVERY EFFORT TO CORRECT ERRORS BROUGHT TO OUR ATTENTION.



promoting the development of agriculture and forestry.

第一条 为了保护和合理利用种质资源,规范品种选育、种子生产经营和管理行为,保护植物新品种权,维护种子生产经营者、使用者的合法权益,提高种子质量,推动种子产业化,发展现代种业,保障国家粮食安全,促进农业和林业的发展,制定本法。

Article 11 The state has sovereignty over germ plasm resources. Any entity or individual that plans to provide germ plasm resources to overseas or cooperates with any overseas institution or individual in conducting research to utilize germ plasm resources shall file an application with the competent agriculture or forestry department of the people's government of the province, autonomous region or municipality directly under the Central Government, and submit the state benefit sharing plan; and the competent agriculture or forestry department that accepts the application shall, upon review, submit the application and plan to the competent agriculture or forestry department of the State Council for approval.

第十一条 国家对种质资源享有主权,任何单位和个人向境外提供种质资源,或者与境外机构、个人开展合作研究利用种质资源的,应当向省、自治区、直辖市人民政府农业、林业主管部门提出申请,并提交国家共享惠益的方案,受理申请的农业、林业主管

guaranteeing grain safety of the state, and promoting the development of agriculture and forestry.

第一条 为了保护和合理利用种质资源,规范品种选育、种子生产经营和管理行为,保护植物新品种权,激励育种原始创新,维护种子生产经营者、使用者的合法权益,提高种子质量,推动种子产业化,发展现代种业,保障国家粮食安全,促进农业和林业的发展,制定本法。

Article 11 The state has sovereignty over germ plasm resources. Any entity or individual that plans to provide germ plasm resources to overseas or cooperates with any overseas institution or individual in conducting research to utilize germ plasm resources shall report to the competent agriculture, rural areas, forestry and grassland departments of the State Council for approval. When submitting an application, it is necessary to submit the state benefit sharing plan; among them, providing or cooperating with overseas institutions or individuals to research and utilize crop germ plasm resources should also be reviewed and approved by the competent agricultural and rural departments of the people's government of the province, autonomous region, or municipality directly under the Central Government.

第十一条 国家对种质资源享有主权,任何单位和个人向境外提供种质资源,或者与境外机构、个人开展合作研究利用种质资源的,应当报国务院农业农村、林业草原主管部门批准。提出申请时,需要提交国家共享惠益的方案;其中,向境外提供或者与境外

部门经审核,报国务院农业、林业主管部门 批准。 机构、个人开展合作研究利用农作物种质资源的,还应当经省、自治区、直辖市人民政府农业农村主管部门审核。

Article 28 An entity or an individual that has bred a variety enjoys exclusive rights to the authorized variety. No entity or individual may, without the permission of the owner of the right to new varieties of plants, produce, propagate or sell the propagation materials of the authorized variety, or repeatedly use for commercial purpose the propagation materials of the authorized variety in the production of the propagation materials of another variety, unless as otherwise provided for by this Law or any relevant law or administrative regulation.

Article 28 An entity or an individual that has bred a variety enjoys exclusive rights to the authorized variety. No entity or individual may, without the permission of the owner of the right to new varieties of plants, produce, propagate, process, offer for sale, sell, import, export or store for the above acts the propagation materials of the authorized variety, or repeatedly use for commercial purpose the propagation materials of the authorized variety in the production of the propagation materials of another variety, unless as otherwise provided for by this Law or any relevant law or administrative regulation.

An entity or an individual engaged in the various activities specified in the first paragraph of this article involving harvested materials obtained by unauthorized use of the propagation material of an authorized variety, shall obtain the permission of the owner of the right to new varieties of plants, except in the case the owner has a reasonable opportunity to exercise its rights in the propagation material.

Essentially derived varieties can be applied for new plant variety rights and obtain authorization. However, where any of the acts described in the first and second paragraphs of this Article is carried out, the consent of the owner of the new plant variety right of the original variety shall be obtained.

第二十八条 完成育种的单位或者个人对 其授权品种,享有排他的独占权。任何单位 或者个人未经植物新品种权所有人许可,不 得生产、繁殖或者销售该授权品种的繁殖材 料,不得为商业目的将该授权品种的繁殖材 料重复使用于生产另一品种的繁殖材料;但 是本法、有关法律、行政法规另有规定的除 外。 The implementation list and determination guidelines of essentially derived varieties shall be determined by the competent agriculture and rural areas, forestry and grassland departments of the State Council in accordance with this Law and relevant laws.

New varieties of plants applied as of the day the essentially derived varieties implementation list is issued shall be managed in accordance with the relevant systems for essentially derived varieties.

第二十八条 完成育种的单位或者个人对其授权品种,享有排他的独占权。任何单位或者个人未经植物新品种权所有人许可,不得生产、繁殖、加工、许诺销售、销售、进口、出口以及为上述行为储存该授权品种的繁殖材料,不得为商业目的将该授权品种的繁殖材料重复使用于生产另一品种的繁殖材料;但是本法、有关法律、行政法规另有规定的除外。

从事本条第一款所规定的各项活动,涉及由未经许可使用授权品种繁殖材料而获得的 收获材料,应当得到植物新品种权所有人许可,但其对繁殖材料已有合理机会行使其权利的情况除外。

实质性派生品种可以申请植物新品种权, 并可以获得授权。但对其实施本条第一款、 第二款所述行为的,应当征得原始品种的植 物新品种权所有人的同意。

实质性派生品种实施名录及判定指南等, 由国务院农业农村、林业草原主管部门依照 本法及有关法律确定。 自实质性派生品种实施名录发布之日起申 请的植物新品种,按照实质性派生品种相关 制度管理。

Article 31 The seed production and business operation license for engaging in the seed import and export business shall be subject to review by competent agriculture and forestry departments of people's governments of provinces, autonomous regions, and municipalities directly under the Central Government and be issued by competent agriculture and forestry departments of the State Council.

The seed production and business operation licenses for seed enterprises that engage in the production and business operation of hybrid seeds and parent seeds of main crops, and seeds of improved varieties of forest trees and integrate selection, cultivation, production and business operation and meet the conditions prescribed by competent agriculture and forestry departments of the State Council shall be subject to review by competent agriculture and forestry departments of county people's governments at the places where the producers and business operators are located, and shall be issued by competent agriculture and forestry departments of people's governments of provinces, autonomous regions, and municipalities directly under the Central Government.

The licenses for the production and business operation of seeds other than those prescribed in the preceding two Article 31 The seed production and business operation license for engaging in the seed import and export business shall be issued by competent agriculture, rural areas, forestry and grassland departments of the State Council; among them, an application for a seed production and business operation license for the import and export of crop seeds shall also be reviewed and approved by the competent agriculture and rural areas departments of the people's government of the province, autonomous region, and municipality directly under the Central Government.

The seed production and business operation licenses for seed enterprises that engage in the production and business operation of hybrid seeds and parent seeds of main crops, propagation material of improved varieties of forest trees, and the integration of selection, cultivation, production and business operation of corps that meet the conditions prescribed by competent agriculture and rural areas department of the State Council shall be issued by competent agriculture, rural areas, forestry and grassland departments of people's governments of provinces, autonomous regions, and municipalities directly under the Central Government.

The licenses for the production and business operation of seeds other than those prescribed in the preceding two paragraphs

paragraphs shall be issued by competent agriculture and forestry departments of local people's governments at or above the county level at the places where the producers and traders are located.

Whoever only engages in the production of non-main crop seeds and non-main forest tree seeds are not required to undergo the formalities for obtaining the seed production and business operation license

第三十一条 从事种子进出口业务的种子 生产经营许可证,由省、自治区、直辖市人 民政府农业、林业主管部门审核,国务院农 业、林业主管部门核发。

从事主要农作物杂交种子及其亲本种子、 林木良种种子的生产经营以及实行选育生产 经营相结合,符合国务院农业、林业主管部 门规定条件的种子企业的种子生产经营许可 证,由生产经营者所在地县级人民政府农 业、林业主管部门审核,省、自治区、直辖 市人民政府农业、林业主管部门核发。

前两款规定以外的其他种子的生产经营许可证,由生产经营者所在地县级以上地方人 民政府农业、林业主管部门核发。

只从事非主要农作物种子和非主要林木种 子生产的,不需要办理种子生产经营许可 证。

Article 39 Without the approval of the competent forestry department of the people's government of the province, autonomous region or municipality directly under the Central Government, no seeds of rare trees or forest tree seeds the purchase

shall be issued by competent agriculture, rural areas, forestry and grassland departments of local people's governments at or above the county level at the places where the producers and traders are located.

Whoever only engages in the production of non-main crop seeds and non-main forest tree seeds are not required to undergo the formalities for obtaining the seed production and business operation license.

第三十一条 从事种子进出口业务的种子 生产经营许可证,由国务院农业农村、林业 草原主管部门核发;其中,申请从事农作物 种子进出口业务的种子生产经营许可证的, 还应当经省、自治区、直辖市人民政府农业 农村主管部门审核。

从事主要农作物杂交种子及其亲本种子、 林木良种繁殖材料生产经营的,以及符合国 务院农业农村主管部门规定条件的实行选育 生产经营相结合的农作物种子企业的种子生 产经营许可证,由省、自治区、直辖市人民 政府农业农村、林业草原主管部门核发。

前两款规定以外的其他种子的生产经营许可证,由生产经营者所在地县级以上地方人民政府农业农村、林业草原主管部门核发。

只从事非主要农作物种子和非主要林木种 子生产的,不需要办理种子生产经营许可 证。

Deleted

of which is restricted in accordance with the provisions of the people's government at the same level may be purchased.

第三十九条 未经省、自治区、直辖市人 民政府林业主管部门批准,不得收购珍贵树 木种子和本级人民政府规定限制收购的林木 种子。

Article 53 Where, due to force majeure, seeds that are lower than the national or local standards have to be used for production, the matter shall be subject to approval by the local people's government at or above the county level at the place where the seeds are to be used; if forest tree seeds are concerned, the matter shall be subject to approval by the people's government of the province, autonomous region or municipality directly under the Central Government where the seeds are to be used.

第五十三条 由于不可抗力原因,为生产需要必须使用低于国家或者地方规定标准的农作物种子的,应当经用种地县级以上地方人民政府批准,林木种子应当经用种地省、自治区、直辖市人民政府批准。

Article 58 Whoever engages in seed import or export shall, in addition to having the seed production and business operation license, obtain the seed import or export license in accordance with the relevant provisions of the state.

The State Council shall provide for the powers for the approval of introduction of crop and forest tree seeds from abroad, measures for the approval of import of crop and forest tree seeds and administrative

Article 52 Where, due to force majeure, seeds that are lower than the national or local standards have to be used for production, the matter shall be subject to approval by the local people's government at or above the county level at the place where the seeds are to be used.

第五十二条 由于不可抗力原因,为生产需要必须使用低于国家或者地方规定标准的农作物种子的,应当经用种地县级以上地方人民政府批准。

Article 57 Whoever engages in seed import or export shall have the seed production and business operation license; among them, who engages in the import and export of crop seeds shall obtain the seed import or export license in accordance with the relevant provisions of the state.

The State Council shall provide for the powers for the approval of introduction of crop and forest tree seeds from abroad, measures for the approval of import of crop

measures for the introduction of genetically modified plant varieties.

第五十八条 从事种子进出口业务的,除 具备种子生产经营许可证外,还应当依照国 家有关规定取得种子进出口许可。

从境外引进农作物、林木种子的审定权限,农作物、林木种子的进口审批办法,引进转基因植物品种的管理办法,由国务院规定。

Article 73 Where anyone infringes upon the right to new varieties of plants in violation of the provisions of Article 28 of this Law, the parties shall resolve the issue through consultation, and if they are unwilling to conduct consultation or consultation fails, the owner of or the party of interest to the right to new varieties of plants may request the competent agriculture or forestry department of the people's government at or above the county level to handle the matter, or may directly bring an action in the people's court.

The competent agriculture or forestry department of the people's government at or above the county level may, under the principle of voluntariness of the parties concerned, conduct mediation on the compensation for the damage caused due to the infringement upon the right to new varieties of plants. If an agreement is reached upon mediation, the parties concerned shall perform the agreement; if any party fails to perform the agreement or an agreement fails to be reached after mediation, the owner of or the party of

seeds and administrative measures for the introduction of genetically modified plant varieties.

第五十七条 从事种子进出口业务的,应 当具备种子生产经营许可证;其中,从事农 作物种子进出口业务的,还应当依照国家有 关规定取得种子进出口许可。

从境外引进农作物、林木种子的审定权限,农作物种子的进口审批办法,引进转基因植物品种的管理办法,由国务院规定。

Article 72 Where anyone infringes upon the right to new varieties of plants in violation of the provisions of Article 28 of this Law, the parties shall resolve the issue through consultation, and if they are unwilling to conduct consultation or consultation fails, the owner of or the party of interest to the right to new varieties of plants may request the competent agriculture and rural areas, forestry and grassland departments of the people's government at or above the county level to handle the matter, or may directly bring an action in the people's court.

The competent agriculture and rural areas, forestry and grassland departments of the people's government at or above the county level may, under the principle of voluntariness of the parties concerned, conduct mediation on the compensation for the damage caused due to the infringement upon the right to new varieties of plants. If an agreement is reached upon mediation, the parties concerned shall perform the agreement; if any party fails to perform the agreement or an agreement fails to be

interest to the right to new varieties of plants may bring an action in the people's court in accordance with the law.

The amount of compensation for damage caused due to the infringement upon the right to new varieties of plants shall be determined according to the actual losses suffered by the right holder from the infringement; and may be determined based on the benefits obtained by the infringer from the infringement if it is difficult to determine the actual loss. If it is difficult to determine the loss of the right holder or the benefits obtained by the infringer, the amount of compensation may be rationally determined by referring to the multiplier of the royalty of the right to new varieties of plants. The amount of compensation shall cover reasonable expenses paid by the right holder to cease the infringement. If the right to new varieties of plants is infringed upon and the circumstances are serious, the amount of compensation may be determined on the basis of not less than one time but not more than three times the amount determined based on the aforesaid method.

Where the loss of the right holder, the benefits obtained by the infringer and the royalty of the right to new varieties of plants can not be determined, the people's court may determine a compensation of not more than three million yuan based on the type of the right to new varieties of plants, the nature and circumstances of the infringement, and other factors.

reached after mediation, the owner of or the party of interest to the right to new varieties of plants may bring an action in the people's court in accordance with the law.

The amount of compensation for damage caused due to the infringement upon the right to new varieties of plants shall be determined according to the actual losses suffered by the right holder from the infringement; and may be determined based on the benefits obtained by the infringer from the infringement if it is difficult to determine the actual loss. If it is difficult to determine the loss of the right holder or the benefits obtained by the infringer, the amount of compensation may be rationally determined by referring to the multiplier of the royalty of the right to new varieties of plants. The amount of compensation shall cover reasonable expenses paid by the right holder to cease the infringement. If the right to new varieties of plants is infringed upon and the circumstances are serious, the amount of compensation may be determined on the basis of not less than one time but not more than five times the amount determined based on the aforesaid method.

Where the loss of the right holder, the benefits obtained by the infringer and the royalty of the right to new varieties of plants can not be determined, the people's court may determine a compensation of not more than five million yuan based on the type of the right to new varieties of plants, the nature and circumstances of the infringement, and other factors.

The competent agriculture or forestry department of the people's government at or above the county level shall, when handling a case over the infringement upon the right to new varieties of plants, order the infringer to cease the infringement, and confiscate the infringer's illegal income and seeds for the purpose of protecting public interest; and, if the value of goods is less than 50,000 yuan, impose a fine of not less than 10,000 yuan but not more than 250,000 yuan on the infringer; if the value of goods is 50,000 yuan or more, impose a fine of not less than five times but not more than ten times of the goods value on the infringer.

Where anyone counterfeits an authorized variety, the competent agriculture or forestry department of the people's government at or above the county level shall order the violator to cease the counterfeiting, and confiscate the illegal income and seeds; and, if the value of goods is less than 50,000 yuan, impose a fine of not less than 10,000 yuan but not more than 250,000 yuan on the violator; if the value of goods is 50,000 yuan or more, impose a fine of not less than five times but not more than ten times the goods value on the violator.

第七十三条 违反本法第二十八条规定, 有侵犯植物新品种权行为的,由当事人协商 Where one does not know that the propagation material or harvested material of an authorized variety is without the permission of the owner of the new plant variety right, and can prove that the propagation material or harvested material has a legal source, it shall not be liable for compensation.

The competent agriculture and rural areas, forestry and grassland departments of the people's government at or above the county level shall, when handling a case over the infringement upon the right to new varieties of plants, order the infringer to cease the infringement, and confiscate the infringer's illegal income and seeds for the purpose of protecting public interest; and, if the value of goods is less than 50,000 yuan, impose a fine of not less than 10,000 yuan but not more than 250,000 yuan on the infringer; if the value of goods is 50,000 yuan or more, impose a fine of not less than five times but not more than ten times of the goods value on the infringer.

Where anyone counterfeits an authorized variety, the competent agriculture and rural areas, forestry and grassland departments of the people's government at or above the county level shall order the violator to cease the counterfeiting, and confiscate the illegal income and seeds; and, if the value of goods is less than 50,000 yuan, impose a fine of not less than 10,000 yuan but not more than 250,000 yuan on the violator; if the value of goods is 50,000 yuan or more, impose a fine of not less than five times but not more than ten times the goods value on the violator.

解决,不愿协商或者协商不成的,植物新品种权所有人或者利害关系人可以请求县级以上人民政府农业、林业主管部门进行处理,也可以直接向人民法院提起诉讼。

县级以上人民政府农业、林业主管部门,根据当事人自愿的原则,对侵犯植物新品种权所造成的损害赔偿可以进行调解。调解达成协议的,当事人应当履行;当事人不履行协议或者调解未达成协议的,植物新品种权所有人或者利害关系人可以依法向人民法院提起诉讼。

侵犯植物新品种权的赔偿数额按照权利人 因被侵权所受到的实际损失确定;实际损失 难以确定的,可以按照侵权人因侵权所获得 的利益确定。权利人的损失或者侵权人获得 的利益难以确定的,可以参照该植物新品种 权许可使用费的倍数合理确定。赔偿数额应 当包括权利人为制止侵权行为所支付的合理 开支。侵犯植物新品种权,情节严重的,可 以在按照上述方法确定数额的一倍以上——倍 以下确定赔偿数额。

权利人的损失、侵权人获得的利益和植物新品种权许可使用费均难以确定的,人民法院可以根据植物新品种权的类型、侵权行为的性质和情节等因素,确定给予三百万元以下的赔偿。

县级以上人民政府农业、林业主管部门处理侵犯植物新品种权案件时,为了维护社会公共利益,责令侵权人停止侵权行为,没收违法所得和种子;货值金额不足五万元的,并处一万元以上二十五万元以下罚款;货值金额五万元以上的,并处货值金额五倍以上十倍以下罚款。

假冒授权品种的,由县级以上人民政府农业、林业主管部门责令停止假冒行为,没收违法所得和种子;货值金额不足五万元的,

第七十二条 违反本法第二十八条规定,有侵犯植物新品种权行为的,由当事人协商解决,不愿协商或者协商不成的,植物新品种权所有人或者利害关系人可以请求县级以上人民政府农业农村、林业草原主管部门进行处理,也可以直接向人民法院提起诉讼。

县级以上人民政府农业农村、林业<mark>草原</mark>主管部门,根据当事人自愿的原则,对侵犯植物新品种权所造成的损害赔偿可以进行调解。调解达成协议的,当事人应当履行;当事人不履行协议或者调解未达成协议的,植物新品种权所有人或者利害关系人可以依法向人民法院提起诉讼。

侵犯植物新品种权的赔偿数额按照权利人 因被侵权所受到的实际损失确定;实际损失 难以确定的,可以按照侵权人因侵权所获得 的利益确定。权利人的损失或者侵权人获得 的利益难以确定的,可以参照该植物新品种 权许可使用费的倍数合理确定。赔偿数额应 当包括权利人为制止侵权行为所支付的合理 开支。侵犯植物新品种权,情节严重的,可 以在按照上述方法确定数额的一倍以上五倍 以下确定赔偿数额。

权利人的损失、侵权人获得的利益和植物新品种权许可使用费均难以确定的,人民法院可以根据植物新品种权的类型、侵权行为的性质和情节等因素,确定给予五百万元以下的赔偿。

不知道是未经植物新品种权所有人许可的 授权品种的繁殖材料或者收获材料,能证明 该繁殖材料或者收获材料具有合法来源的, 不承担赔偿责任。

县级以上人民政府农业农村、林业<mark>草原</mark>主 管部门处理侵犯植物新品种权案件时,为了 维护社会公共利益,责令侵权人停止侵权行 并处一万元以上二十五万元以下罚款;货值 金额五万元以上的,并处货值金额五倍以上 十倍以下罚款。 为,没收违法所得和种子;货值金额不足五万元的,并处一万元以上二十五万元以下罚款;货值金额五万元以上的,并处货值金额五倍以上十倍以下罚款。

假冒授权品种的,由县级以上人民政府农业农村、林业草原主管部门责令停止假冒行为,没收违法所得和种子;货值金额不足五万元的,并处一万元以上二十五万元以下罚款;货值金额五万元以上的,并处货值金额五倍以上十倍以下罚款。

Article 77 Where anyone commits any of the following conduct in violation of the provisions of Article 32 or 33 of this Law, the competent agriculture or forestry department of the people's government at or above the county level shall order the violator to take corrective action, and confiscate the violator's illegal income and seeds; and, if the value of goods involved in illegal production or business operation is less than 10,000 yuan, shall impose a fine of not less than 3,000 yuan but not more than 300,000 yuan on the violator; if the value of goods is 10,000 yuan or more, shall impose a fine of not less than three times but not more than five times the goods value on the violator; and may revoke the violator's seed production and business operation license.

- (1) Producing or trading in seeds without obtaining the seed production and business operation license.
- (2) Obtaining the seed production and business operation license by fraud, bribery or any other illegal means.

Article 76 Where anyone commits any of the following conduct in violation of the provisions of Article 32, 33 and 34 of this Law, the competent agriculture and rural areas, forestry and grassland departments of the people's government at or above the county level shall order the violator to take corrective action, and confiscate the violator's illegal income and seeds; and, if the value of goods involved in illegal production or business operation is less than 10,000 yuan, shall impose a fine of not less than 3,000 yuan but not more than 300,000 yuan on the violator; if the value of goods is 10,000 yuan or more, shall impose a fine of not less than three times but not more than five times the goods value on the violator; and may revoke the violator's seed production and business operation license.

- (1) Producing or trading in seeds without obtaining the seed production and business operation license.
- (2) Obtaining the seed production and business operation license by fraud, bribery or any other illegal means.

- (3) Failing to produce or trade in seeds in accordance with the provisions of the seed production and business operation license.
- (4) Forging, altering, trading in or leasing the seed production and business operation license.

The legal representative and directly responsible managers of the entity of which the seed production and business operation license is revoked shall not serve as the legal representative or senior executive of a seed enterprise within five years as of the completion of execution of the penalty.

第七十七条 违反本法第三十二条、第三 十三条规定,有下列行为之一的,由县级以 上人民政府农业、林业主管部门责令改正, 没收违法所得和种子;违法生产经营的货值 金额不足一万元的,并处三千元以上三万元 以下罚款;货值金额一万元以上的,并处货 值金额三倍以上五倍以下罚款;可以吊销种 子生产经营许可证:

- (一)未取得种子生产经营许可证生产经营种子的;
- (二)以欺骗、贿赂等不正当手段取得种 子生产经营许可证的;

- (3) Failing to produce or trade in seeds in accordance with the provisions of the seed production and business operation license.
- (4) Forging, altering, trading in or leasing the seed production and business operation license.
- (5) Producing seeds without the isolation and cultivation conditions for breeding seeds, seed production sites free of quarantine pests or

seed collection forests determined by the competent forestry and grassland departments of the people's governments at or above the county level.

(6) Producing seeds without implementing seed inspection and quarantine procedures.

The legal representative and directly responsible managers of the entity of which the seed production and business operation license is revoked shall not serve as the legal representative or senior executive of a seed enterprise within five years as of the completion of execution of the penalty.

第七十六条 违反本法第三十二条、第三十三条、第三十四条规定,有下列行为之一的,由县级以上人民政府农业农村、林业草原主管部门责令改正,没收违法所得和种子;违法生产经营的货值金额不足一万元的,并处三千元以上三万元以下罚款;货值金额一万元以上的,并处货值金额三倍以上五倍以下罚款;可以吊销种子生产经营许可证;

- (三)未按照种子生产经营许可证的规定 生产经营种子的;
- (四)伪造、变造、买卖、租借种子生产 经营许可证的。

被吊销种子生产经营许可证的单位,其法定代表人、直接负责的主管人员自处罚决定作出之日起五年内不得担任种子企业的法定代表人、高级管理人员。

- (一)未取得种子生产经营许可证生产经营种子的:
- (二)以欺骗、贿赂等不正当手段取得种 子生产经营许可证的;
- (三)未按照种子生产经营许可证的规定 生产经营种子的:
- (四)伪造、变造、买卖、租借种子生产 经营许可证的:
- (五) 不具有繁殖种子的隔离和培育条件,不具有无检疫性有害生物的种子生产地点或者县级以上人民政府林业草原主管部门确定的采种林,从事种子生产的;
- (六) 未执行种子检验、检疫规程生产种子的。

被吊销种子生产经营许可证的单位,其法 定代表人、直接负责的主管人员自处罚决定 作出之日起五年内不得担任种子企业的法定 代表人、高级管理人员。

Article 84 Where anyone purchases precious tree seeds or forest tree seeds the purchase of which is restricted, in violation of the provisions of Article 39 of this Law, the competent forestry department of the people's government at or above the county level shall confiscate the purchased seeds, and impose a fine of not less than two times but not more than five times the value of seeds on the violator.

第八十四条 违反本法第三十九条规定, 收购珍贵树木种子或者限制收购的林木种子的,由县级以上人民政府林业主管部门没收 所收购的种子,并处收购种子货值金额二倍以上五倍以下罚款。

Article 92 For the purpose of this Law, the following terms shall have the following meanings:

Deleted

Article 90 For the purpose of this Law, the following terms shall have the following meanings:

- (1) "Germ plasm resources" means the basic materials for breeding new varieties of plants, including the propagation materials for the cultigens and wild species of various plants as well as the hereditary materials of the various plants artificially created with the aforesaid propagation materials.
- (2) "Varieties" means the plant colony that has been selected, cultivated, found, and improved by human, of which the shape and biological characteristics are uniform, and genetic characteristics are relatively stable.
- (3) "Main crops" means rice, wheat, maize, cotton, and soybean.
- (4) Main forest trees shall be determined and announced by the competent forestry department of the State Council; and competent forestry departments of people's governments of provinces, autonomous regions, and municipalities directly under the Central Government may determine not more than eight other main forest trees in addition to the ones determined by the competent forestry department of the State Council.
- (5) "Improved varieties of forest trees" means approved main forest tree varieties which, in a given area, are obviously better than those of the propagation and planting materials mainly planted at the time in such aspects as output, adaptability and resistance.

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- (5) "Improved varieties of forest trees" means approved main forest tree varieties which, in a given area, are obviously better than those of the propagation and planting materials mainly planted at the time in such aspects as output, adaptability and resistance.

(6) "Novelty" means that the seeds of a variety under application for the right to new varieties of plants have been sold or popularized by the applicant or with the applicant's consent within China for not more than one year before the date of application; the seeds of forest trees or vines have been sold or popularized for not more than six years, and the seeds of other plants have been sold or popularized for not more than four years abroad.

The seeds have novelty if seeds fall under the genera or species of plants newly included in the catalogue of plant varieties under state protection after the issuance of this Law, an application for the right to new varieties of plants is filed within one year as of the date of issuance of the catalogue, and the seeds of such a variety have been sold or popularized within China for not more than four years.

In addition to the situation that the sales or popularization conduct loses novelty, novelty shall be deemed as lost under any of the following circumstances:

- (a) The factual diffusion of the variety has been confirmed by the competent agriculture or forestry department of the people's government of the province, autonomous region or municipality directly under the Central Government based on the seeded area.
- (b) The crop variety has been approved, or no application for the right to new varieties of plants has been filed after it is registered for two years or more.

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The seeds have novelty if seeds fall under the genera or species of plants newly included in the catalogue of plant varieties under state protection after the issuance of this Law, an application for the right to new varieties of plants is filed within one year as of the date of issuance of the catalogue, and the seeds of such a variety have been sold or popularized within China for not more than four years.

In addition to the situation that the sales or popularization conduct loses novelty, novelty shall be deemed as lost under any of the following circumstances:

- (a) The factual diffusion of the variety has been confirmed by the competent agriculture and rural areas, forestry and grassland departments of the people's government of the province, autonomous region or municipality directly under the Central Government based on the seeded area.
- (b) The crop variety has been approved, or no application for the right to new varieties of plants has been filed after it is registered for two years or more.

- (7) "Specialty" means that one or more properties of a plant variety are significantly different from the known varieties.
- (8) "Consistency" means that relevant characteristics or properties of individuals in the colony of a plant variety have the same manifestations, except expectable natural variations.
- (9) "Stability" means that the main properties of a plant variety remain unchanged after repeated propagation or a specific propagation period ends.
- (10) "Known varieties" means the plant varieties on which applications have been accepted or that have passed variety approval, variety registration, new variety protection, or that have been sold or popularized.
- (11) "Labels" means specific designs and textual descriptions printed, pasted, fixed or attached to the surface of seeds and seed packages.

第九十二条 本法下列用语的含义是:

(一)种质资源是指选育植物新品种的基础材料,包括各种植物的栽培种、野生种的

- (7) "Specialty" means that one or more properties of a plant variety are significantly different from the known varieties.
- (8) "Consistency" means that relevant characteristics or properties of individuals in the colony of a plant variety have the same manifestations, except expectable natural variations.
- (9) "Stability" means that the main properties of a plant variety remain unchanged after repeated propagation or a specific propagation period ends.
- (10) "Essentially-derived variety" means a variety that is essentially derived from the original variety, or derived from an essentially deivered variety of the original variety. It is clearly distinguisable from the original variety, [but,] besides the different characteristics resulting from the derivation, it is identical with the original variety in the expression of essential characteristics resulting from the genotype or group of genotypes of the original variety.
- (11) "Known varieties" means the plant varieties on which applications have been accepted or that have passed variety approval, variety registration, new variety protection, or that have been sold or popularized.
- (12) "Labels" means specific designs and textual descriptions printed, pasted, fixed or attached to the surface of seeds and seed packages.

第九十二条 本法下列用语的含义是:

繁殖材料以及利用上述繁殖材料人工创造的 各种植物的遗传材料。

- (二)品种是指经过人工选育或者发现并 经过改良,形态特征和生物学特性一致,遗 传性状相对稳定的植物群体。
- (三)主要农作物是指稻、小麦、玉米、 棉花、大豆。
- (四)主要林木由国务院林业主管部门确定并公布;省、自治区、直辖市人民政府林业主管部门可以在国务院林业主管部门确定的主要林木之外确定其他八种以下的主要林木。
- (五) 林木良种是指通过审定的主要林木品种,在一定的区域内,其产量、适应性、抗性等方面明显优于当前主栽材料的繁殖材料和种植材料。
- (六)新颖性是指申请植物新品种权的品种在申请目前,经申请权人自行或者同意销售、推广其种子,在中国境内未超过一年;在境外,木本或者藤本植物未超过六年,其他植物未超过四年。

本法施行后新列入国家植物品种保护名录的植物的属或者种,从名录公布之日起一年内提出植物新品种权申请的,在境内销售、推广该品种种子未超过四年的,具备新颖性。

除销售、推广行为丧失新颖性外,下列情 形视为已丧失新颖性:

- 1. 品种经省、自治区、直辖市人民政府 农业、林业主管部门依据播种面积确认已经 形成事实扩散的;
- 2. 农作物品种已审定或者登记两年以上 未申请植物新品种权的。

- (一)种质资源是指选育植物新品种的基础材料,包括各种植物的栽培种、野生种的繁殖材料以及利用上述繁殖材料人工创造的各种植物的遗传材料。
- (二)品种是指经过人工选育或者发现并 经过改良,形态特征和生物学特性一致,遗 传性状相对稳定的植物群体。
- (三)主要农作物是指稻、小麦、玉米、 棉花、大豆。
- (四)主要林木由国务院林业<mark>草原</mark>主管部门确定并公布;省、自治区、直辖市人民政府林业<mark>草原</mark>主管部门可以在国务院林业<mark>草原</mark>主管部门确定的主要林木之外确定其他八种以下的主要林木。
- (五) 林木良种是指通过审定的主要林木品种,在一定的区域内,其产量、适应性、抗性等方面明显优于当前主栽材料的繁殖材料和种植材料。
- (六)新颖性是指申请植物新品种权的品种在申请日前,经申请权人自行或者同意销售、推广其种子,在中国境内未超过一年;在境外,木本或者藤本植物未超过六年,其他植物未超过四年。

本法施行后新列入国家植物品种保护名录的植物的属或者种,从名录公布之日起一年内提出植物新品种权申请的,在境内销售、推广该品种种子未超过四年的,具备新颖性。

除销售、推广行为丧失新颖性外,下列情 形视为已丧失新颖性:

1. 品种经省、自治区、直辖市人民政府农业农村、林业草原主管部门依据播种面积确认已经形成事实扩散的;

- (七)特异性是指一个植物品种有一个以 上性状明显区别于已知品种。
- (八)一致性是指一个植物品种的特性除可预期的自然变异外,群体内个体间相关的特征或者特性表现一致。
- (九)稳定性是指一个植物品种经过反复 繁殖后或者在特定繁殖周期结束时,其主要 性状保持不变。
- (十)已知品种是指已受理申请或者已通过品种审定、品种登记、新品种保护,或者已经销售、推广的植物品种。
- (十一)标签是指印制、粘贴、固定或者 附着在种子、种子包装物表面的特定图案及 文字说明。

- 2. 农作物品种已审定或者登记两年以上 未申请植物新品种权的。
- (七)特异性是指一个植物品种有一个以 上性状明显区别于已知品种。
- (八)一致性是指一个植物品种的特性除可预期的自然变异外,群体内个体间相关的特征或者特性表现一致。
- (九)稳定性是指一个植物品种经过反复 繁殖后或者在特定繁殖周期结束时,其主要 性状保持不变。
- (十)实质性派生品种是指由原始品种实质性派生,或者由该原始品种的实质性派生品种派生出来的品种,与原始品种有明显区别,除派生引起的性状差异外,在表达由原始品种基因型或者基因型组合产生的基本性状方面与原始品种相同。
- (十一)已知品种是指已受理申请或者已通过品种审定、品种登记、新品种保护,或者已经销售、推广的植物品种。
- (十二) 标签是指印制、粘贴、固定或者 附着在种子、种子包装物表面的特定图案及 文字说明。